



NGO in Special Consultative Status with the Economic and Social Council of the UN

Sub-Grant Application Form

Asia-Pacific Forest Carbon Partnership Facility (FCPF) Capacity Building on REDD+ (for Indigenous Peoples)

Section 1: Contact Details

1.a Legally registered name of the organization, official address including telephone number/s, website, skype Centre for Rural Development in Central Vietnam (CRD) Address: 102 Phung Hung, Hue, Thua Thien Hue, Vietnam Tel: 0234 3529 749 Email: office@crdvietnam.org Website: www.crdvietnam.org 1.b Names of persons to be involved in sub-project implementation (iii should be different from ii) i. Authorized to sign the Sub*ii.* Responsible for iii. Responsible for Grant Agreement implementation if different finance from (i). Name **Truong Quang Hoang** Ho Le Phi Khanh Nguyen Thi Lan Huong Position Director **Deputy Director** Accountant **Telephone number** 0905365135 0905673465 0943648217 Email address khanhhl@crdvietnam.org huongnl@crdvietnam.org hoangtq@crdvietnam.org

Section 2: Applicant Organization Information

| Names of members of governing body and their role. | | | | |
|--|---|--|--|--|
| Name | Position/Role | | | |
| Truong Quang Hoang | Position: Director | | | |
| | Role: | | | |
| | - Be responsible for the organization's performance before partner | | | |
| | organizations and local authorities. | | | |
| | Conduct monitoring and evaluation of the staff performance during the implementation of activities. | | | |
| | - Directly sign contracts/agreements with donors and partner organizations. | | | |
| | - Directly manage and approve the organization's budget expenditure. | | | |
| | Make decision on the short-term and long-term development strategies of the organization. | | | |
| | - Recruit employees and appoint management positions of the organization. | | | |
| | Chair the meetings of the Executive Board and monthly and quarterly meetings of the organization. | | | |
| | - Promote relationships with international and domestic organizations to seek | | | |
| | cooperation opportunities. | | | |
| Ho Le Phi Khanh | Position: Deputy Director | | | |
| | Role: | | | |
| | - Assist and consult the Director in the management of the organization | | | |

| | [| | | | |
|---|---|--|---------------|----------------------|---|
| | - Contribute to finalize the organization's development strategies and ensure | | | | |
| | the implementation of the strategies. | | | | |
| | Directly manage the teams of Consultancy Services and Fundraising, Program, Administration and Communication | | | | |
| | Administration and Communication. | | | | |
| | Directly work with donors and partner organizations to facilitate the organization's activities. | | | | |
| | - | | | norati | ion opportunities. |
| | - | | - | • | papers including dispatch, decisions or |
| | | - | - | - | ector is away. |
| Frequency of | Monthly, quart | | | | |
| meetings: | wontiny, quart | eriy, yeuri | 'Y | | |
| Number of full time staff of the e | ntire oraanizati | on. indica | te whethe | er mal | e or female. |
| Project implementation: | Finance: | , | | Othe | |
| 1. Vo Chi Tien, male | 1. Nguyen Thi l | an Huona | ı. female | | an Ba Quoc Hung, male, communication |
| 2. Dinh Nhat Son, male | 2. Hoang Thuy | - | | offic | |
| 3. Pham Dinh Hien, male | 3. Le Thi Minh | | | | Thi Nhan, female, administration officer |
| 4. Phan Van Hung, male | | - | | | |
| 5. Tran Huu Tam, male | | | | | |
| 6. Dang Thi Lan Anh, female | | | | | |
| 7. Nguyen Van Nam, male | | | | | |
| 8. Ho Dang Viet Tien, male | | | | | |
| Memberships in Networks or Alli | ances including | those witl | h governn | nents | |
| Name of Alliance/Network | Contact Pe | erson | | | Email Address |
| Multi-Stakeholder Group on | Pham Van Dier | 1 | phamva | ndien | 100@gmail.com |
| VPA-FLEGT | | | | | |
| Forest Land Rights Alliance | Ngo Van Hong ngovanhong@cegorn.org | |)cegorn.org | | |
| (Forland) | | | | | |
| Vietnam REDD Network | Pham Van Dien <u>phamvandien100@gmail.com</u> | | 100@gmail.com | | |
| VNGO-FLEGT Network | Nguyen Ngoc C | Juang | quang@ | srd.oi | rg.vn |
| Child Rights Governance | Dang Thi Lan A | nh | | | tnam.org |
| Network | | | | | |
| Rate your working relationship w | ith government | at local a | nd nation | al leve | els with 1 as lowest and 5 as highest and |
| identify the government offices/ | - | | | | |
| Provincial People's Comm | | | - | - | culture and Rural Development: 3 |
| District People's Committ | | | - | | cation and Training: 4 |
| - Communal People's Com | | | | | istration of Forestry: 5 |
| - Department of Agricult | ure and Rural | - 1 | Forest Pro | tectio | n Department: 3 |
| Development: 4 | | | | | |
| - Forest Protection Department: 5 | | | | | |
| Sources of funds from 2018 to the | | | | | |
| Name of Donor | Amount in US\$ | | act Persor | | Email Address |
| | | | ang Thi | - | |
| Save the Children (SC) | 485.894 | | CRG Prog | | hoangthitay.ninh@savethechildren.org |
| | 105.054 | - | r / Mr. P | ham | phamxuan.nam@savethechildren.org |
| | | Xuan Na | | | |
| Food and Agriculture | | | no Camma | | |
| Organization of the United | 119.757 | 119 757 Forestry Officer – Asia bruno.cammaert@fao.org | | _ | |
| Nations (FAO) under the FAO-EU | 115.757 | - EU FAO FLEGI Josil.Murra | | Josil.Murray@fao.org | |
| FLEGT Programme | | Programme FAO | | | |

| | | Regional office for Asia | | |
|--|--------------------------------|---|--|--|
| | | and the Pacific / Ms. Josil P.Murrav - | | |
| | | Josil P.Murray - International | | |
| | | consultant for FLEGT | | |
| | | at FAO | | |
| Green Climate Fund/United | | | | |
| - | 113.73 | Mr. Vu Thai Truong - | wu thai truong@undn org | |
| | 115.75 | Project Manager | vu.thai.truong@undp.org | |
| Programme (GCF/UNDP) Canada Fund for Local Initiatives | | Mr. Le Hung Viet – | | |
| (CFLI) | 53.25 | 3 Coordinator | leviet.pl@gmail.com | |
| | | | | |
| WWF-Vietnam | 106.26 | 0 / | phuoc.nguyendinh@wwf.org.vn | |
| | 100120 | Manager | prices age for a set of the set o | |
| | | Ms. Nguyen Kim Ngan | | |
| Sustainable Rural | 4.96 | 1 - Deputy Director of | ngan@srd.org.vn | |
| Development (SRD) | | SRD | | |
| EB Services Company | 22.47 | | thuy hang phan Que contralistail as a | |
| Limited (EBS) | 22.17 | 4 Ms. Phan Hong Thuy | thuy.hong.phan@vn.centralretail.com | |
| United Nations Entity for | | Ms. Nguyen Thi | | |
| Gender Equality and the | 36.65 | | Nguyen.nga@unwomen.org | |
| Empowerment of Women | 50.051 | Programme Assistant | <u>Nguyen.nga@unwomen.org</u> | |
| (UN Women) | | | | |
| United Nations Development | | Mrs Doan Thi Tuyet | | |
| Programme (UNDP) through the | 732.76 | | Ngadt.tl@mard.gov.vn | |
| Vietnam Disaster Management | 752.70 | Manager | | |
| Authority | | | | |
| World Vision International in | | Ms. Dam Thu Hang - | | |
| Vietnam (WVV) | 627.390 Grants Acquisition and | | dam_thu_hang@wvi.org | |
| World Vision Germany (WVD) | | Compliance Manager | | |
| Lux-Development | 40.73 | 6 Ms. Pham Thi Lien Hoa | phamlien.hoa@luxdev.lu | |
| Project VIE/401 | | - Coordinator | | |
| Thua Thien Hue Provincial | C 00 | Mr. Nguyen Quang | name all hat Othersthistic for any | |
| Department of Planning and | 6.86 | e , , | nqcuong.skhdt@thuathienhue.gov.vn | |
| Investment The Urban Climate Resilience | | Director | greencityhue@gmail.com | |
| Trust Fund (UCCRTF) | 102.31 | 8 Mr. Vo Van Viet – | | |
| Deutsche Gesellschaft für | | Director Ms. To Thi Thu Huong - | vanvietvo@gmail.com | |
| Internationale Zusammenarbeit | 24.66 | • | huong.to@giz.de | |
| (GIZ) | 24.00 | Officer | | |
| | | Mr. Truong Quoc Can – | | |
| European Union (EU) | 104.54 | 4 Director | can.tq@cisdoma.org.vn | |
| Indicate the name and contact details of your organization's external auditor. | | | | |
| Name of the External Auditor Email Address | | | | |
| NEXIA STT Co., Ltd nexiastt@nexia.vn | | | | |
| UHY Co., Ltd | | Jhy-info@uhyvietnam.com.vn | | |
| Previous and/or ongoing programs/projects/activities on REDD+, forest and health at local, national and region | | | | |
| levels including engagements with government agencies and the target beneficiaries of this sub-project since | | | | |
| 2018. | | | | |
| Programs/Projects/Activ | vities | Bene | ficiaries and Location | |
| | | | | |

| Strengthening CSOs and CBOs to Accelerate Conservation Efforts in the Central Annamites" in Thua Thien Hue province in 2018, 2019, 2020, 2021 | Engagements with government agencies: the People's Committees of Nam Dong and A Luoi districts, the Provincial and district Forest Protection Department, Department of Agriculture and Rural Development Beneficiaries: Civil Society Organization (CSOs), Community-based Organization (CBOs), communities, forest management households, women Location: Thuong Lo and Thuong Nhat communes (Nam Dong district) and A Roang (A Luoi district), Thua Thien Hue province |
|--|---|
| Strengthening access to the management and use of land and forests by ethnic minorities | Engagements with government agencies: Department of Agriculture and Rural Development, provincial and district Forest Protection Department; Provincial and Communal People's Committees, Department of Agriculture and Rural Development, Department of Natural Resources and Environment of Kontum province Beneficiaries: ethnic minority communities Location: Kon Ray and KonPlong districts, Kon Tum province |
| Support Non-State Actors to participate effectively in implementing, monitoring and assessing the results of VPA/FLEGT implementation | Engagements with government agencies: Vietnam Administration of Forestry, Forest products association of Binh Dinh, the People's Committees of districts and communes, Beneficiaries: CSOs, communities Location: Quy Nhon, Quang Tri and Thua Thien Hue provinces |
| Empowering social organizations and local communities to effectively participate in the implementation of Vietnam's emission reduction program (ER-P)" in North Central of Vietnam | Engagements with government agencies: Departments of Agriculture and Rural Development of Thua Thien Hue, Quang Nam, Quang Tri provinces, Department of Natural Resources and Environment of Quang Tri province Beneficiaries: social organizations, local communities Location: Thua Thien Hue province |
| Supporting small business holders comply with the Legal Timber Definition in order to effectively participate in national and global legal timber supply chains | Engagements with government agencies: Vietnam Administration of Forestry, Ministry of Agriculture and Rural Development, the Provincial Forest Protection Departments of Thua Thien Hue and Quang Tri, Research Center for Development of Agriculture and Environment, Center for Education and Development Beneficiaries: CSOs, timber growers organizations and timber industry associations Location: Quang Tri and Đồng Nai provinces |
| Green Annamites project | Engagements with government agencies: the People's Committees of Phong Dien District, Phu Loc District, and Nam Dong District Beneficiaries: rural populations, particularly vulnerable ethnic minority populations, women, the poor, and provincial and local government officials and stakeholders Location: Quang Nam and Thua Thien Hue provinces |
| Strengthening capacity for forestry resources and biodiversity conservation combined with sustainable livelihoods for ethnic community in Sao-La conservation area, Thua Thien Hue province. | Engagements with government agencies: the People's Committee of A Luoi district, Ho Chi Minh Communist Youth Union of A Luoi district Beneficiaries: community, CFM groups Location: Huong Nguyen commune, A Luoi district, Thua Thien Hue province |

Enumerate the trainings on REDD+, forestry and health that the target beneficiaries participated from 2018 to present.

- **49** training courses on sustainable forestry management for members of community forest management groups
- **25** training courses were conducted on patrolling skills to protect community forests; techniques for rattan cultivation; first aid skill; applying technologies in community forest patrolling; introduction to the timber legality requirements.
- O9 training workshops were conducted with 259 participants including farmers, representatives of social organizations and enterprises. Training content included: (1) Training of Trainer (ToT) on the introduction of VPA-FLEGT and technical support to household forest growers; (2) Introduction of VPA/FLEGT and timber legality requirements to household forest growers; (3) Developing policy briefs, (4) techniques for rattan cultivation under forest canopy, (5) Management and protection of community forests.
- **04** training courses on COVID 19 prevention for key member of farmer union, women union, youth union at communes in mountainous districts in Central Vietnam
- Support to establish one network of sustainable forest management and biodiversity conservation from household groups and communities allocated forest at Huong Nguyen commune, A Luoi district, Thua Thien Hue province. The Center organized **05** training courses for 124 network member (38 female) on five topics:
 (1) Managing and operating the network; (2) Forest Integrity Assessment tool (FIAT); (3) Developing community communication for network member; (4) Forest protection planning; and (5) Technique on sustainable non-timber forestry product harvesting.
- **01** training workshop on "Vietnamese Emissions Reduction Program (ER-P), gap of community capacity" for 40 participants, of which 25 are from Forestry management and protection network and 15 female are from Women Union in Huong Nguyen Commune, A Luoi District, Thua Thien Hue province

Fluency of staff in English and the language/s of targeted forest-dependent indigenous peoples.

Most of staff from Center for Rural Development in Central Vietnam can fluently use English, while 50% of staff can speak and communicate by ethnic minority language with indigenous people in mountainous area of Vietnam generally and Central Vietnam particularly.

Indicate the labor and occupational health and safety (OHS) standards of your government that your organization follow/implement.

| Labor standards | OHS |
|---|--|
| The Center applies Labor Code No. 5/2019/QH14 | The Center conforms Law on occupational safety and Hygiene |
| which was promulgated by the National | No. 84/2015/QH13 which was promulgated by the National |
| Assembly in November 2019 | Assembly in June 2015 |

What problems did your organization encounter related to labor and OHS standards of your country and how did you resolve these?

The Center has no problems with encountering related to labor and OHS standards

Describe briefly how your organization settle/address complaints including use of traditional IP practices or just attach a copy of the GM.

Please refer to 12 steps of GM which is presented by the attachment in annex

What are the government requirements your organization has to fulfill before implementing externally funded projects including reports to be submitted?

In 2020, the Government promulgated the Decree No 80/2020/ND-CP on management and use of grant aid from foreign agencies, organizations and individuals for Vietnam. The decree stated that any organizations or CSOs has to apply an approval for project's implementation from Central Government. To do this, CRD will prepare the relevant paperwork such as MoU or contract with donor, which is translated into Vietnamese, and the project budget and time frame, which are then submit to Central Government for review. After 30 days, the approval will be issued and delivered to CRD for project's implementation.

| What external challenges/risks did you experience in project implementation and how did you address these? | | | |
|--|--|--|--|
| Risks | Remedies | | |
| Natural disaster (heavy rain, floods, and | The project planning will concern about weather extreme that | | |
| typhoon) could happen during the project implementation. | | | |

| | | The project team will set up a group with beneficiaries on | | | |
|-----------------------------------|-------------------------------------|---|---|--|--|
| team and beneficiaries | | Facebook, zalo or WhatsApp to ensure that everyone stays | | | |
| | | updated. Moreover, the team will contact frequently with | | | |
| | | leaders of community forestry management groups, the village | | | |
| | | heads, the commune people's committee to update the project schedule, disadvantages come up during the project | | | |
| | | | | | |
| | | to sort out those difficult | the project will provide timely proactive | | |
| Inadequate skills of team membe | rc | The project manager/coordinator will pre-determine the needed | | | |
| madequate skins of team membe | 15 | project management skills and competencies and assess the | | | |
| | | | etermine whether staff and skillsets are | | |
| | | | ect will inspect and validate the resume | | |
| | | | ts before assign the project activities. | | |
| Inadequate risk management | | | come up with alternate plans that the | | |
| | | | project begins to spiral out of control. | | |
| | | | am will discuss to develop project risk | | |
| | | management system to i | dentify the types of risks and mitigating | | |
| | | them. | | | |
| The limited engagement of stake | nolders | The project will organ | nize a kick-off workshop with the | | |
| | | participation of all s | participation of all stakeholders and representative of | | |
| | | beneficiaries to present, discuss, and share about the project | | | |
| | | activities, outputs, and outcome. Also, the stakeholders will | | | |
| | | involve in project management tool so they can actively | | | |
| | | participate in shaping the project and providing feedback. | | | |
| | | Further, the project keeps all internal and external stakeholders | | | |
| | | in the loop right from the project planning stage. Schedule | | | |
| | | regular meetings with all stakeholders and address their | | | |
| | | concerns as required. | | | |
| Rate your organization on the for | | | _ | | |
| Technical capacity | 5 | Reports preparation | 5 | | |
| Safeguards implementation | 4 Monitoring& evaluation | | 5 | | |
| Kindly provide 3 references who | | | | | |
| Name and Position/Designation | Organization/Government Office | | Email Address | | |
| Pham Van Dien - Deputy | Vietnam Administration of Forestry/ | | phamvandien100@gmail.com | | |
| Director | Ministry of | Agriculture and Dural | | | |
| Director | - | Agriculture and Rural | | | |
| | Development | | anh nguyenguanghoa@wwf org yn | | |
| Nguyen Quang Hoa Anh – Head | Development | Agriculture and Rural 's Office in Central Region | anh.nguyenquanghoa@wwf.org.vn | | |
| | Development | | anh.nguyenquanghoa@wwf.org.vn teo do@dai.com | | |

Section 3: Proposed Sub-Project Identification

Sub-Project title Promoting the effective engagement of ethinic minorities (EMs) in the implemenation, mornitoring and evaluation of the Emission Reduction Program (ER-P) through strengthening knowledge and capacity of EMs in REDD+ process

Identify the indigenous peoples who will benefit directly from the sub-project and their location in the emission reductions program (ERP) area/s as indicated in your country's Emission Reductions Program Document (ERPD).

Vietnam is ideal for this proposed project given the notable on-going REDD+ process at the policy level and ongoing ER-P in Thua Thien Hue province. The province is one out of six provinces in North Central Region, which was listed in Vietnam's ERP to address the causes of forest loss and reduce the missions from deforestation and forest degradation. Among 5 midland and mountainous districts in Thua Thien Hue province, A Luoi and Nam Dong Districts

have the highest proportion of ethnic minorities are primarily selected to conduct the capacity building as well as dialogue for effective involvement of EMs, especially the women and youth ethnic minority. These two districts also are two out of three districts of selected for the Emission Reductions Program in the North Central Coast of Vietnam, funded by Forest Carbon Partnership Facility (FCPF) Carbon Fund from 2018-2025.

A Luoi and Nam Dong District is representative of rural mountainous Central Vietnam along the border to Laos and Quang Nam province. The total natural forest area of the two districts occupies about 64% or approximately 146,279ha of the total natural forest area in the province. While plantation forests still belong to the State Forest Enterprises (currently called Watershed Management Boards). About 30,000 ha natural forests were allocated to 80 village communities and 225 household goups. Besides, the communities, household groups have been contracted by the Forest Management Boards (FMB), the State agency for forest protection.

Two districts are characterized by a mosaic of landscapes, from irrigated rice fields at the lower elavation through mixed acacia plantation forests and cassava swidden fields to natural forest areas on mountain sides. The natural forests both districts are of high biodiversity and conservation value in the Vietnam Central Animate Region, which is known to be among the most biodiverse areas of the world, providing a range of ecosystem services and a home to many forest communities. The Back Ma National Park and Sao La Thua Thien Hue Nature Reserve and 3 Protection Forests, and 3 State Forest Enterprises are also located in these two districts.

Both Nam Dong and A Luoi districts are home to around 20,000 households with 80,007 people (48.8% female), in which EM households counted for 62.3% of total households. Among total population of districts, the 50,319 inhabitants belong to the ethnic minority groups of Paco, Ta Oi, Katu, Bru-Van Kieu, and Pahy. There are 281 community forest management groups (CFMs) at two districts, of which 200 CFM led by EMs. The CFMs currently have 9,420 member households of which 75% are from EM households. The project will target on 500 households including 200 CFM member households who are CFM leaders and 300 CFM member households whose women are key CFM constituents. In reality, each household has on average three members: a man, woman, and a son/ daughter in youth age. Therefore, there will approximately 1500 EM people of the 500 targeted households who are able to involve and benefit from project's activities, and thus at least 1000 EMs of which 40% are women and 20% are in youth age will became direct project beneficiaries.

| Targeted number of direct ¹ | Men – 600 | Women – 400 | Youth ² - 200 | |
|--|---------------|-------------|--------------------------|--|
| beneficiaries | | | | |
| Total Sub-Project cost (amount re | equested plus | 68,116 | | |
| counterpart and from other sources in USD) | | | | |
| Amount requested from the proj | ect (in USD) | 54,021 | | |
| Amount provided by counterpart (in USD) | | \$4,275 | | |
| Amount provided by local contribution (in USD) | | \$ 9,821 | | |

Section 4: Proposed Sub-Project Information

4.1 What are the issues and problems of forest-dependent indigenous men, women, youth and persons with disabilities related to REDD+/ERP implementation and delivery of COVID-19 support that the Sub-Project will address?

Since 2012, the project "Supporting for REDD+ Readiness in Vietnam" under the FCPF has been implemented in Thua Thien Hue province, and it now is on the second phase. Accordingly, the provincial REDD+ Action Plan (PRAP) has been approved and then updated to be consistent with the new national REDD+ Action Plan (NRAP). Under this implementation, REDD+ related activities such as trainings, workshops and communication had been conducted with local people. However, the engagement of EMs, especially women and persion with disabilities were so limited and thus their knowledge and awareness on REDD+ issues obviously poor. An evaluation indicated that the

¹ Direct Beneficiaries are IPs and members of indigenous peoples' organizations and institutions who will participate in capacity building and awareness raising activities and meetings/workshops with REDD+/ERP units and COVID-19 response implementers and other stakeholders.

² For uniformity, youth refers to those who are 15-24 years old based on UN Statistics definition

proportion of EMs involving in such trainings were very low, approx. 10% of total participants, while this figure is only 5% to 10% female participants, no figure on the participation of the persons with disabilities. Their participation was restricted by such factors that inadequate attention was paid to EMs, materials were not properly designed and developed to EMs, women and persons with disabilities, traditional culture/customary causes barriers to women's participation in public events while facilitating methods could not encourage their participation.

Moreover, EMs in Thua Thien Hue province in general and the two districts of Nam Dong and A Luoi in particular have been facing critical challenges in association with forest resources. EMs have high demand for forest land for production and still keep customary claim on land while most of the forest land is under the Forest Management Board (the state agency) with unclear boundaries. Consequently, the land dispute still happened between the EMs and state agency. The benefit sharing mechanism from REED+ has been agreed in principle, but how it is going to be implemented is not clear. It is not really aware as to how the proposed ACMA approach would work and sensible explanations can be understood in such local context. EMs are heavily dependent on the forests for their livelihoods, although it is more difficult to get NTFPs because of the State's stronger forest management and the poor natural resources. In the two district of Nam Dong and A Luoi, 32.5% of ethnic minorities live are experiencing poverty and low educational levels. Access to information and communication technologies is a prevailing challenge. Meanwhile misinformation and disinformation (usually coming from outside the area) around COVID-19 pandemic is happening more and more. Consequently, people and communities don't understand and are not fully aware about the pandemic, causing the inappropriate and ineffective anti COVID 19 action.

4.2 Kindly indicate name/s and contact details of the following:

| REDD+/ERP implementing unit | |
|---|---|
| Name: Nguyen Huu Huy – Vice director of Forest Protection Department of | Email address and/or telephone |
| Thua Thien Hue Province | number: <u>huya66@gmail.com</u> |
| COVID-19 response unit: | |
| Name: Vo Phi Long – Director of District Clinic center | Email address and/or telephone |
| | number: vophiongbv@gmail.com |
| Representative/s of the targeted IP beneficiaries | |
| Name: Ho Thi Thanh Tuyen (female) – Vice chair women of Women Union at Nam Dong District, Thua Thien Hue province | Email address and/or telephone number: <u>thanhtuyennamdong88@gmail.com</u> 0383903816 |
| Name: Le Thi Quynh Tuong (female) – Chair women of Women Union at A Luoi District, Thua Thien Hue province | Email address and/or telephone number: <u>tuongpnal@gmail.com</u> 0919395542 |
| Name: A Viet Thi Be (female) – member of Community Forest management group in A Roang Commune, A Luoi District, Thua Thien Hue province | Telephone number: 0383213262 |

4.3 Please provide a short overall description of the proposed Sub-Project. Why is the Sub-Project important to forest-dependent indigenous men, women, youth and persons with disabilities? (Should be related to 4.1 above)

In principle, REDD + safeguards are also known as Cancun safeguards and aim to ensure that REDD + initiatives adequately address sensitive issues such as the rights of indigenous peoples and traditional communities, social participation, preservation of natural ecosystems, the permanence of achieved REDD+ results and the risk of displacement of the pressure from deforestation and forest degradation to other areas. Under the ER-P requires to develop and apply an adaptive collaborative management approach (ACMA). ACMA is a participatory, collaborative approach among forest management actors to improve land and forest access security. It should be linked to investment opportunities to ensure that community's benefit from, and can continue their own investments in their land and have incentives to sustainably manage the natural forests and forest resources under their control. This is achieved by participatory agreed boundaries between the local communities and forest management actors to reduce and eliminate encroachment, facilitate contract farming and eventually support land-use right certificates. In these system and approach, the civil societies, especially domestic CSOs play an important role in promoting the effective engagement of muktistakeholder in the implementation of the ER-P, particularly in strengthening the

capacity and knowledge of the EMs and empowering the women to full participation in the development, monitoring and evaluation of the ER-P.

The Emission Reduction Program in the 6 provinces in the north coast Vietnam highlights the Ethnic Minority Planning Framework (EMPF). The EMPF identifies the need for strengthening the capacity and knowledge of EMs for effectively engaging into sustainable forest management and livelihood improvement contributing to the success of the ER-P during its implementation period (2018 - 2025), especially empowering the women to take full participation in the Forest Management Contract (FMC) as required for the ACMA. The proposed sub-project will determine the capacity needs to achieve EMPF, develop capacity for EMs in Thua Thien Hue province. Moreover, the project design will address the knowledge gap of EMs on the COVID-19 pandemic by providing the training courses in pandemic prevention, increasing information accessibility about COVID – 19, and facilitating the dialogue between EMs and local institutions about COVID – 19 responses.

The project design with focuses on the following components:

1. Increased capacity and awareness of the EMs on REDD+

This component seeks to address the knowledge gaps and lack of awareness of EMs on REDD+ by delivering effective REDD+ awareness raising and capacity building programs.

This includes but not limited to the following awareness raising and capacity building materials: training manuals, information booklets, posters, flyers and leaflets. Under this component, a training and capacity development package intended for introducing REDD+ related topics such as the concept of REDD+, ACMA, FPIC, tenure right, carbon rights, conflict management, livelihoods, Covid-19 etc. to the EMs is developed. Within this component, REDD+ information sources and delivery formats for EMs must be adapted and contextualized so that they are readily understood and stimulating for community members. This requires having well-presented and easy-to-read materials, the delivering of information in culturally appropriate formats, and by using interactive and participatory approaches to awareness raising. The ability of EMs to communicate their concerns and contribute to policy dialogues with government must be addressed. REDD+ capacity building provided through this component will give EMs the confidence and ability to engage more effectively with the sub-national and national policymaking and planning processes.

2. Women are effectively engaged into the implementation, monitoring and evaluation of ER-P

This component focusses on empowering of women for the effective engagement in the implemenation of ER-P in the targeted districts. The project will strengthen capacity and knowledge for women helping them to be capable for meaningful participation in the FMC as require for ACMA at the district and communal levels. Especially, due to the cultural norm barrier, the women are often lack of capacity and opportunities to engaging in the policy processes of the forest sector. The project will provide this group with knowledge and skills on the REDD+, forest governance, tenure rights, carbon right, covid-19, facilitation, negotiation mediation. After the traing programs, the project will support women to organize the dialogues, campaigns and meeting with other stakeholders to levarage their gained knowledge and skills.

Under the project, selected women are also be supported to work with other EMs representatives to collect, documentate evidences and produce communication products and policy recomendations to the government agencies at all levels on the REDD+, forest governance and EMs and Covid-19 issues.

3. Increased knowledge on COVID-19 prevention and improved communication skills for EMs at project sites Identified as the most vulnerable group from covid-19, EMs will be provided with the training courses in pandemic prevention and information accessibility about COVID – 19. The dialogues on the forest- dependent livelihood alternative among EMs, women and other stakeholders will take place for discussing on the harmonized solutions for responding with COVID – 19 and alike pademic might occure in the future.

4. EMs influences safeguard the ER-P in the region

Project lessons and experiences from EMs and women engaging in the implementation, mornitoring and evaluation will be documented and disseminated by various means in a participatory approach. A focused effort needs to be made to improve the level of information sharing and networking between regional communities in the ER-P.

4.4 Desired Overall Outcomes (what benefits and/or immediate positive changes do you anticipate for the targeted indigenous peoples, their communities and/or organizations in relation to the implementation of your country's REDD+/ERP and government's COVID-19 response that are directly related to sub-project implementation?)

The overall outcome:

Strengthen the engagement of ethnic minorities specially women and youths in REDD+, in REDD+ processes and decision making in Vietnam under the context of climate change and Covid-19 pandemic.

- 200 EM-led CFMs, 200 EMs who are CFM leaders, and 100 women who are CFM key constituents have increased participation in updating, implementation and monitoring PRAP (REDD strategy)
- 200 EM-led CFMs, 1000 MEs from 500 target households with 400 women take increased participation in Emission Reduction Programs and Benefit Sharing Plans
- 1000 EM people have improved understanding about REDD+ and ER-P and good participation skills for effective engagement in implementation and monitoring of ER-P and PRAP as well.
- Voices or claims of EM, women, youth and disabilities about their rights (on land, carbon, forest products, benefit sharing, gender equity, etc) will be taken into account by relevant authorities in ER-P and PRAP as well.
- 200 EM-led CFMs and 320 EMs including women and disabilities in the Thua Thien Hue province will have improved knowledge about and better cope with Covid-19 pandemic.

| improved knowledge about and better cope with covid-19 pandemic. | | |
|---|--|--|
| 4.5 Kindly enumerate and describe the activities to be implemented by the | Sub-Project and the expected results. | |
| Activities for beneficiaries | Expected results (refer to the | |
| | indicators in the invitation/call) | |
| 1. EMs in the Thua Thien Hue province have adequate understanding and skills for effectively enaging into the formulation and implementation of REDD+ strategies and actions | | |
| 1.1. Increased capacity and awareness of the EMs on REDD+ A selective package of capacity building for EMs on implementation, monitoring and evaluation of REDD+ will be developed and trainings will be delivered to address capacity needs and raising awareness of targeted groups, enabling engagement and effective implementation and monitoring ER-P in Thua Thien Hue province. | 1000 EM people from 500 households | |
| Activity 1.1.1: Organize planning workshops A planning workshops will be organized in Hue City to kick-off the project components. These workshops will be attended by women unions, community representatives, management unit of Hue's ER-P, state forest owners, Hue's Forest Protection and Development Fund and CSOs having project related REDD+. This workshop will also identify potentials to align the project activities with existing REDD+/ERP related topics and COVID-19 in the province and potential for collaborations with them. This also include an introduction of regularly fora of the women in Thua Thien Hue province. In the launching workshop, a rapid capacity-building need assessment targeting to EMs and women will also be conducted with the participants. The assessment results will serve as baseline for future monitoring and evaluation of capacity building activities | 1-day workshop with 50 EM participants (at least 50% women); 01 inception report | |

| Activity 1.1.2: Develop communication materials related to REDD+ and ER- | |
|--|--|
| Р | |

| Activity 2.1.1: Organize the trainings for women The project will organize several trainings for women who are the member of women unions and the FMCs at two targeted districts. These trainings are designed to strengthen knowledge and skills for the women on facilitation skills, the conflict management, tenure rights, ACMA, climate smart agriculture, benefit sharing mechanism, covid-19 and women leadership. | 4 2-days trainings (25 women per training); 4 training reports |
|--|--|
| 2.1 Women are effective engaged into the implementation, monitoring and evaluation of ER-P A functional fora of women is regularly facilitated in support to effective performance of forest management Councils (FMC) | At least 70% of women at two districts effectively engaged into the implementation, monitoring and evaluation of ER-P and PRAP |
| 2. EMs in the Thua Thien Hue province will benefit from the Emission Red Plans and Mechanism and able to cope with Covid-19 pandemic. | duction Programs and Benefit Sharing |
| Activity 1.1.5: Organize exchange visits for EMs The project will organize 1 exchange visit for EMs in Thua Thien Hue province to one of 5 ER-P provinces in coastal central of Viet Nam. In the exchange visits, the EMs and women representative in Hue will have a visual view and discussion with other communities in the ER-P the climate change adaptation, mitigation of Covid-19 impacts, recognition of rights, conflict management, and livelihood improvement. The EMs and women representatives are selected basing upon their engagement, contribution in the project activities and agreed by the voting in the community meetings. | 01 2-days exchange visits for total 30 villagers (15 EM villagers per targeted district); 1 event report |
| Activity 1.1.4: Organize community-based trainings on REDD+ related topics Project will identify at 06 field-based community trainings for EMs in each targeted district on (but not limited to) the climate change and REDD+; conflict management; gender equity; benefit sharing mechanisms; participatory land-use planning; engagement of women and youth in REDD+ implementation, monitoring and evaluation; covid-19 and resilience approach. | 06 2-day trainings (30 EM villagers participated per training of which at least 40% are women); 6 training reports |
| Activity 1.1.3: Document new developments and case-studies Project will review and seek for good practices of sustainable management of NTFP; climate smart agroforestry; recognition of tenure rights of EMs, collaborative management; benefit sharing mechanisms; participatory land- use planning; engagement of women and youth in REDD+ implementation, monitoring and evaluation; covid-19 and resilience approach. The good practices, then, will be synthesized to feed into the training and capacity building materials for EMs and women. | A booklet of good practices in REDD+ will be developed, and printed to 200 copies (in Vietnamsese). 150 copies are then delivered to 150 village communities and household groups at two districts for their references |
| P O1 set of awareness and training materials for EMs and women such as training manuals, reading materials, posters and other kinds of visual aids etc. for delivering training and capacity development of the targeted stakeholders will be developed. Training topic might include (but not limited), sustainable management and use of NTFP; climate change and REDD+; conflict management; gender equity; benefit sharing mechanisms; participatory land-use planning; engagement of women and youth in REDD+ implementation, monitoring and evaluation; covid-19 and resilience approach. | 100 posters; 1000 leaflets and 400 handbooks; at least 1000 EMs will access information from these deliverables, which then share or disseminate to other 1000 EMs. All marterials will make use of dialect and be published in Vietnamese |

| Activity 2.1.2: Support women-led fora on recognition rights for EMs and women. The project will support the women to organize the 01 provincial and 02 district levels fora among EM representatives (incl. women). The topics of the fora might comprise of (but not limited) the tenure rights, carbon right, forest product rights, safeguard, benefit sharing, forest governance, on impact of Covid-19 pandemic and the utilization of forest resources for livelihood improvement. The results of the fora will be synergized and translated into the policy recommendations for improving the implementation of ER-P and policy reform in forest sector. | 01 one-day fora at province for 60 participants (at least 40 women) 02 one-day fora at districts (50 participants/ each; at least 30 women) 03 event reports and 1 draft policy brief (in Vietnamsese). |
|---|---|
| Activity 2.1.3: Support women-led dialogues recognition rights for EMs and women By using the recommendations from the Activity 2.1.2, the project will support women and community representatives to organize dialogues with landscape policy makers and relevant stakeholders in the Thua Thien Hue province to harmonize interests and concerns of related right recognition for EMs inluding women, youth and persons with disability both on the land and utilization of forest resources, and engagement in REDD+ processes. | 1 half-day policy dialogue for 50 participants of which 45 are local EM women, 5 representatives of decision making units (Department of Agriculture and Forestry, Forest Protection Department, Executive board of ER-P implementation, government authorities, Forest Protection and Development Fund, State Forest Management Board) , 1 event report and 1 final policy brief (in Vietnamsese) |
| Activity 2.1.4: Support to organize district level awareness campaigns The project will collaborate with women unions and youth unions and at 2 targeted district to organize awareness campaigns "youth and forest governance". The campaign may include the competition exhibition of photos featured out the good practices of youth, children and women in the forest governance and sustainable livelihood activities in their landscape. | 02 1-day awareness campaigns (at least 250 women, youth, children and villagers participated per campaign); 2 event reports |
| 2.2. Increased knowledge on COVID-19 prevention and improved communication skills for EMs at project sites | At least 320 EMs representing for 320 EMs households at two districts: A Luoi and Nam Dong obtain the certain knowledge on COVID-19 prevention and skills in communication about COVID 19 |
| Activity 2.2.1: Training on COVID-19 and online communication skills. Project will work with health and relevant agencies in 2 target districts to develop and organize awareness raising and capacity events for the EMs on the covid pandemic and its impact and how to respond to outbreak. Activity 2.2.2: Establishing an information network and the procedures for COVID-19 knowledge-sharing activities Regularly forum will be organized for the EM and government agencies for sharing experiences on coping with the pandemic, particularly on the | 4 1-day trainings (2 per districts) on COVID 19 prevention and communication, 25 EMs/each, a training report 8 EM community meeting with around 40 people/each to share information and knowledge about COVID 19 prevention; community |
| sustainable use of forest resources and traditional knowledge. 2.3. EMs influences safeguard the ER-P in the region Lessons of EMs engaging in the implementation, monitoring and evaluation of ER-P are documented, widely shared and rolled out. | meeting report |

| project staff in travelling to the field to deliver the trainings and other project activities.The government agencies, state forest owners and private sector are not willing to dialogue with EMs and women on the sensitive issues like extraction of forest products, land use conflict and recognition of customary rights 4.7 Which other groups/organizations, including governm Name of group/organization | in the early stage provide them w the issues. In a anyone but harr rightholders and governance and | engage of the relenvant stakeholders e of designing the project activities and ith facts and related law provisions of addtion, the message of no harm to monising the needs and interests of all d stakeholders are the key for forest REDD+ implementation yed in Sub-Project implementation? Roles/Responsibilities |
|--|---|--|
| and other project activities. The government agencies, state forest owners and private sector are not willing to dialogue with EMs and women on the sensitive issues like extraction of forest products, land use conflict and recognition of customary rights | in the early stage provide them w the issues. In a anyone but harr rightholders and governance and | e of designing the project activities and ith facts and related law provisions of addtion, the message of no harm to monising the needs and interests of all d stakeholders are the key for forest REDD+ implementation |
| and other project activities. The government agencies, state forest owners and private sector are not willing to dialogue with EMs and women on the sensitive issues like extraction of forest products, land | in the early stage provide them w the issues. In a anyone but harr | e of designing the project activities and ith facts and related law provisions of addtion, the message of no harm to monising the needs and interests of all |
| and other project activities. The government agencies, state forest owners and private sector are not willing to dialogue with EMs and women on the sensitive issues like extraction of forest products, land | in the early stage provide them w the issues. In a | e of designing the project activities and ith facts and related law provisions of addtion, the message of no harm to |
| and other project activities. The government agencies, state forest owners and private sector are not willing to dialogue with EMs and women on the sensitive issues like extraction of forest products, land | in the early stage provide them w | e of designing the project activities and ith facts and related law provisions of |
| and other project activities. The government agencies, state forest owners and private sector are not willing to dialogue with EMs and women on | in the early stage | e of designing the project activities and |
| and other project activities. The government agencies, state forest owners and private | | |
| and other project activities. | | |
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| · · · · · · · · · · · · · · · · · · · | | |
| imposes the social distancing scheme that might limit the | | |
| When the covid-19 outbreak, the governement migh | The online platforms, tools and techniques will be | |
| | | ncern and interest. |
| | | ering women and EMs to proactively |
| | | for increasing their confidence and |
| | | project will focus in transfering skills |
| to join the project activities. | including design, implementation, monitoring. | |
| barriers, women often feel not confident and motivated | | nmunities in entire project activities |
| As the cultural and information access in forest sector | | strictly apply FPIC principles to engage |
| | district levels. | |
| | | ent Unit and CDC at provincial and |
| | | vernment agencies in forest, ture and health sectors, particularly |
| REDD+, forest governance and covid-19 issues. | | /WF. The project will invite resources |
| expertise or support from existing training packages on | international organizations, such as SNV, CIFOR, | |
| The sub-project implementation will require external | Seeking the support from international experts and | |
| Risks/Challenges | | Remedies/Mitigation |
| 4.6 Describe any potential external factors/risks that may affect implementation and how you will mitigate these. | | |
| participate to hearing stories from the ground. | | |
| The national government authorities and donor will also | | |
| government authorities, State forest owners, private secto | | report |
| participants of wide range of stakeholders (community | - | 1 workshop (40 participants); 1 event |
| Activity 2.3.3: Organize a national workshop At the end of the project, a 1-day workshop will be | organized with | |
| | | |
| presented and mutually shared among the meeting partici | | meeting, activity reports |
| project duration in which the relevant topics on REDD+ | - | meeting, activity reports |
| meeting and CFM meeting There will be 10 meetings for community and CFMs organized during the | | 10 meetings for the whole project, of which at least 40 participants per |
| Activity 2.3.2 Integrating knowledge on REDD+ and ER-P in community | | 10 months of an the such also made to the |
| subtiles for reporting and sharing purposes . | | |
| | broadcasted in Vietnamese for Vietnamese audiences and with English | |
| impacts of the Covid-19 pandemic. The documentary vi | - | (70% are EM) access information from documentary film |
| of EMs in ER-P and utilization of their traditional knowled | | least 2000 people at two districts |
| documentary video clip (upto 15 minutes) and articles on the engagement | | provincial levels newspapers). At |
| The project with invite communications agencies at national and sub- national levels to join a field visit in the two targeted districts to produce a | | at least 5 articles (on the national and |
| P in Thua Thien Hue province | | 1 15-minute documentary video clip; |
| Activity 2.3.1: Produce a documentary film on the engagement of EMs in ER- | | |
| L ACTIVITY 2.3. I : Produce a documentary tilm on the engagem | | |

| ED D Management Unit of | info@kiamlamthuathianhua arg.un | Browido technical support in developing |
|--|------------------------------------|---|
| ER-P Management Unit of Thua Thien Hue | info@kiemlamthuathienhue.org.vn | Provide technical support in developing the training materials and joining the |
| | | |
| | | dialogues and campains with EMs and |
| | | women |
| Women union of Nam Dong | namdong@thuathienhue.gov.vn | Asign the focal point person to work with |
| district | | the projects and joint the dialogues and |
| | | campains |
| Women union of A Luoi | aluoi@thuathienhue.gov.vn | Asign the focal point person to work with |
| district | | the projects and joint the dialogues and |
| | | campains |
| District health Center in Nam | vplong.bvndong@thuathienhue.gov.vn | Provide technical support in developing |
| Dong | | the communication materials on Covid-19 |
| District health Center in A | ttytealuoi@ thuathienhue.gov.vn | Provide technical support in developing |
| Luoi | | the communication materials on Covid-19 |
| Department of Nature | stnmt@thuathienhue.gov.vn | Joining the dialogues on the topics of land |
| Resources and Environment | | use planning and management; benefit |
| | | sharing |
| Bach Ma National Park | vpddbachmahue@gmail.com | Joining the dialgues on the topic of land |
| | | use conflict managent, ACMA, benefit |
| | | sharing mechanism, sustainable |
| | | livelihoods |
| Sao La Nature Reserve | kbt.saola.al@gmail.com | Joining the dialgues on the topic of land |
| | | use conflict managent, ACMA, benefit |
| | | sharing mechanism, sustainable |
| | | livelihoods |
| A Luoi Protection Forest | klaluoi@ thuathienhue.gov.vn | Joining the dialgues on the topic of land |
| | | use conflict managent, ACMA, benefit |
| | | sharing mechanism, sustainable |
| | | livelihoods |
| Nam Dong Protection Forest | klnamdong@ thuathienhue.gov.vn | Joining the dialgues on the topic of land |
| | | use conflict managent, ACMA, benefit |
| | | |
| | | |
| | | livelihoods |
| Thua Thien Hue TV agencies | dptth@thuathienhue.gov.vn | Joining the campaigns and dialogues and |
| | | documentary film for the project |

4.8 How will you ensure the proportionate participation of men, women, youth and persons with disabilities in sub-project implementation, monitoring and evaluation?

The project is designed targeting EMs and women thus they will have taken part in the all activities and assessment and engagement of other stakeholders in the project activities ensure the social inclusion. In addition to the womenled activities, the project will ensure that at least 50% of total participant in community-based capacity and awareness raising activities are women and gender specialists and staff will guide the implementation of the subproject. The project also include activities focusing on youth as discribed above.

4.9 How will Sub-Project gains/benefits be sustained after completion?

The implementation of the proposed Sub-project will help increasing both capacity and understanding on the REDD+ and forest governance related issues for EMs and women who directly envolve in development, implementation of the ER-P in Thua Thien Hue province. These actually is the way to achieve true empowerment and sustainability. All the training and communication materials and lesson learnt shared broadly with other ER-P provinces will represent a mechanism to scale up the overall impact of the project. In addition, the proposed sub-project will also strongly emphasize on policy advocacy and learning through dialogues and fora and producing policy recommendations for improving the full participation and recognition of rights for EMs and women that would help maintaining project outcomes over a long-term. Last but not least, CRD as a CSOs working for improving forest governance focussing on recognition right of local communities and EMs will seeking for support to utilize all material, experiences of the proposed Sub-project to continously design futher interventions for supporting EMs and women in comming years. Besides, since 2018, CRD have been implementing a project "Strengthening Civil Socioty to Accellerate Conservation Effort in Central Annamites", which is prepared for next phase of 3 years in Nam Dong and A Luoi. With resource from this project, CRD will be able to support the continuance of the proposed sub-project after its end.